



Hollings Cancer Center
An NCI-Designated Cancer Center

Neoadjuvant Immunotherapy: cSCC to HNSCC

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Associate Professor

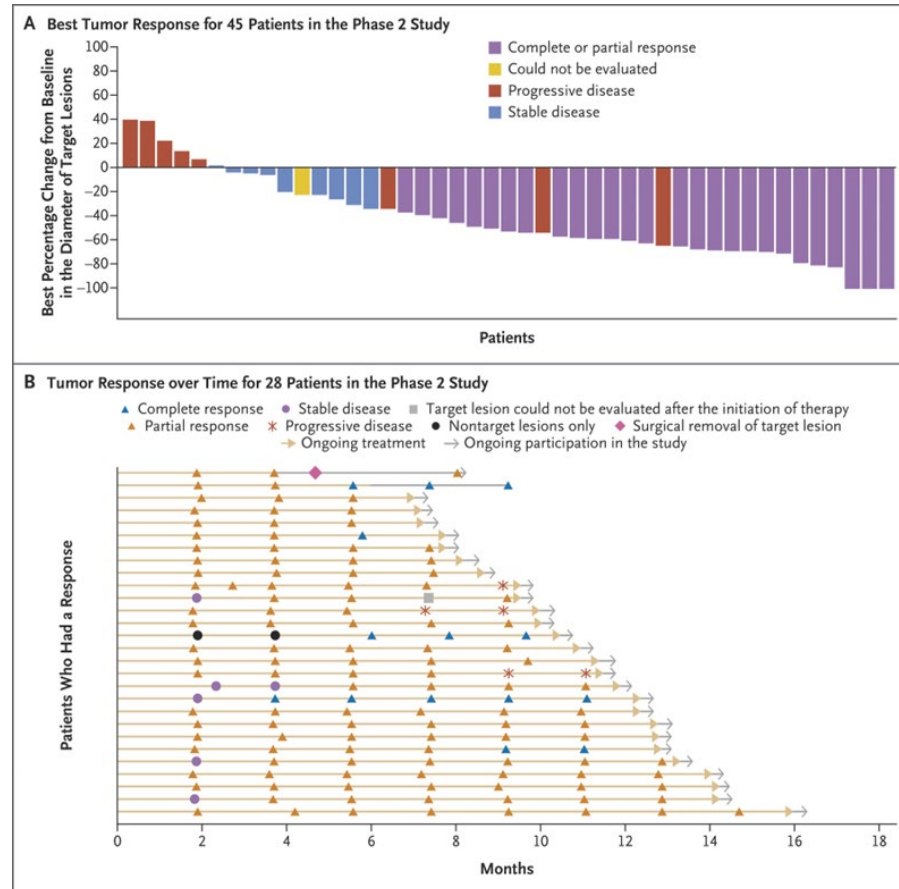
Disclosures

- Consulting/Advisory: Bicara Therapeutics, Regeneron, PDS Biosciences
- Nonfinancial: I have no relevant nonfinancial relationship to disclose.

- Immunotherapy in cSCC
 - R/M
 - Neoadjuvant
 - Future state/controversies
- Immunotherapy in HNSCC
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 - Neoadjuvant
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Immunotherapy in Advanced cSCC

- Cemiplimab (anti-PD1)
 - First FDA approved agent to treat cSCC (2018!)
- Pembrolizumab approved shortly thereafter



Migden et al. NEJM 2018

Neoadjuvant Cemiplimab

- Phase 2 study
 - 95% III/IV (M0)
 - 4 neoadjuvant cycles
 - Protocol allowed for adjuvant treatment at investigator discretion

Table 1. Characteristics of the 79 Patients at Baseline.*	
Characteristic	Value
Median age (range) — yr	73 (24–93)
Male sex — no. (%)	67 (85)
Race — no. (%)†	
White	69 (87)
Other	2 (3)
Not reported	8 (10)
Not Hispanic or Latinx — no. (%)†	74 (94)
Primary tumor site — no. (%)	
Head and neck	72 (91)
Trunk, arms, and legs	7 (9)
Stage group — no. (%)‡	
II	5 (6)
III	38 (48)
IV (M0)	36 (46)
Tumor stage at screening — no. (%)‡	
TX	23 (29)
Tis	1 (1)
T1	4 (5)
T2	10 (13)
T3	39 (49)
T4a	2 (3)
Node stage at screening — no. (%)‡	
NX	1 (1)
N0	31 (39)
N1	13 (16)
N2§	11 (14)
N2b	9 (11)
N2c	1 (1)
N3¶	1 (1)
N3a	1 (1)
N3b	11 (14)
ECOG performance-status score — no. (%)	
0	60 (76)
1	19 (24)

Neoadjuvant Cemiplimab Results

- 51% CR rate

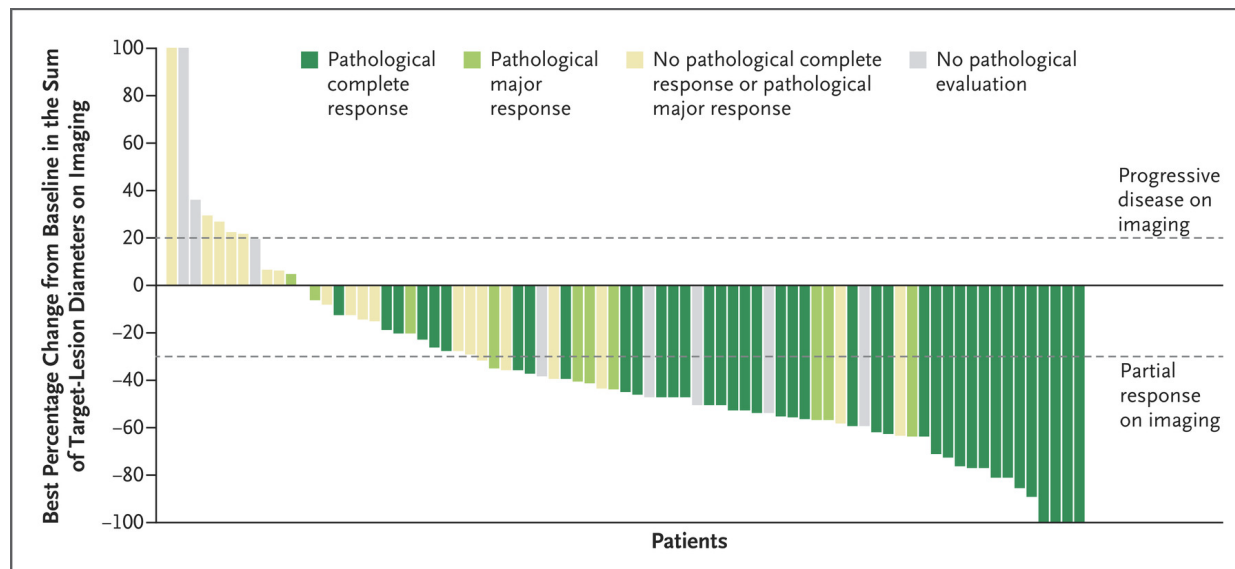


Table 2. Tumor Response to Neoadjuvant Cemiplimab in the 79 Patients According to Pathological and Imaging-Based Response Assessment.*

Tumor Response	Value			
	Independent Review		Investigator Assessment	
	no. (%)	95% CI	no. (%)	95% CI
Pathological response				
Pathological complete response: absence of viable tumor cells in surgical specimen	40 (51)	39–62	42 (53)	42–65
Pathological major response: presence of viable tumor cells that constitute ≤10% of surgical specimen	10 (13)	6–22	10 (13)	6–22
No pathological complete response or pathological major response: presence of viable tumor cells that constitute >10% of surgical specimen†	20 (25)†	—	NA	—
No pathological evaluation‡	9 (11)	—	9 (11)	—
Response on imaging§				
Objective response: complete or partial response	—	—	54 (68)	57–78
Best overall response¶				
Complete response	—	—	5 (6)	—
Partial response	—	—	49 (62)	—
Stable disease	—	—	16 (20)	—
Progressive disease	—	—	8 (10)	—
No imaging-based evaluation	—	—	1 (1)	—
Disease control	—	—	70 (89)	80–95

Primary immunotherapy monotherapy (PRIMO)

- “Definitive” immunotherapy
- Mean age 80
- Patients with CR/PR rarely suffered PD
- Reserve surgery and radiation for PD

Results			
Table 1. Patient Characteristics		Table 2. Tumor Characteristics	
Male:Female	9 (25%): 27 (75%)	Location	Head/Neck 32 (89%)
Age (mean (SD))	80.3 (8.9)		Non-HN 4 (11%)
Follow up, months (median [IQR])	13. 5 [8.0, 20.5]	Primary vs. Recurrent	Primary 10 (28%)
Head/Neck	32 (89%)		Recurrent 26 (72%)
Non-HN	4 (11%)	T-stage	T0 6 (17%)
			T2 3 (8%)
			T3 10 (28%)
			T4 13 (36%)
			Tx - ITM 4 (11%)
			N0 24 (67%)
			N1 5 (14%)
			N2a 1 (3%)
			N2b 3 (8%)
			N2c 1 (3%)
			N3 2 (6%)
			M-stage M0 0 (0%)
Table 3. Treatment Characteristics			
Type of immunotherapy	Pembrolizumab 5 (14%)		
	Cemiplimab 31 (86%)		
# of infusions (median [IQR])	13 [7.0, 18.8]		
Therapy modified due to toxicity?	No 24 (67%)		
	Yes 12 (33%)		
Table 4. Best Response and Ultimate Progression Rates			
Best Initial Response		Eventual Progression on/after immunotherapy?	
		No	Yes
Complete response	15 (42%)	15 (100%)	0 (0%)
Partial response	14 (39%)	11 (79%)	3 (21%)
Stable disease	3 (8%)	0 (0%)	3 (100%)
Progressive disease	4 (11%)	0 (0%)	4 (100%)
Total	36 (100%)	26 (72%)	10 (28%)
Duration of response in months (median [IQR])		15.5 [8.8, 23.2]	3.0 [2.0, 6.8]
Table 5. Survival Rates			
Overall Survival (95% CI)			
1 year		76% (63-92)	
2 year		64% (48-87)	
Progression-Free Survival (95% CI)			
1 year		72% (58-88)	
2 year		51% (35-73)	

• Unresectable cSCC

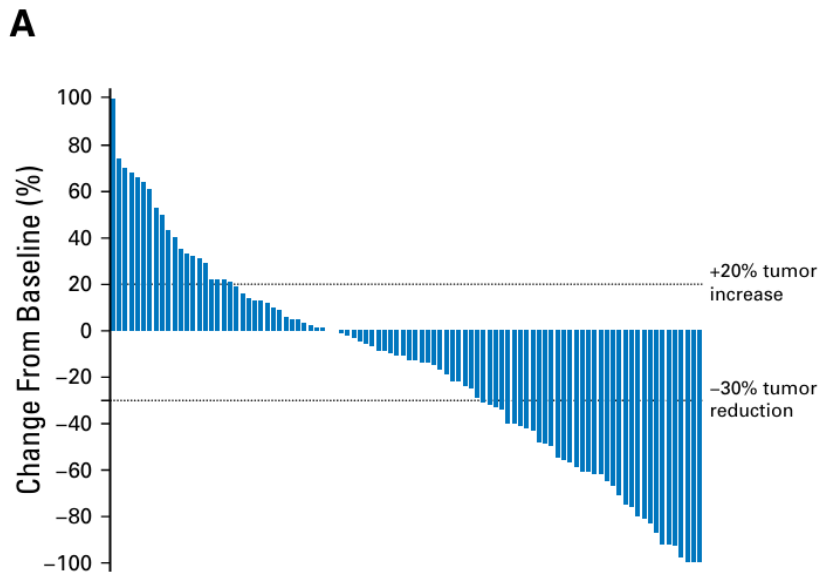
- A Phase II, Multicenter, Single-Arm Clinical Trial of Definitive **R**adiotherapy **A**nd **CeMiPlimAb**-with **I**mmuno**T**herapy for locally Advanced, Unresectable Cutaneous Squamous cell Carcinoma
- Primary endpoint disease free survival at 18 months
- ORR secondary endpoint

<u>Study Phase:</u>	<i>Screening</i>	<i>Neoadjuvant Treatment</i>	<i>Week 6 Response</i>	<i>Neoadjuvant Treatment</i>	<i>Concurrent Treatment</i>	<i>Adjuvant Treatment</i>
Time:	Weeks -6 - 0	Weeks 1 - 4	Progression → Response/Stable disease → Weeks 7-10	Weeks 7-10	Weeks 7 - 14 Weeks 13-20	Weeks 22 - 52
Study Event:	Screening Procedures	Cemiplimab Immunotherapy		Cemiplimab Immunotherapy	Concurrent Radiotherapy & Cemiplimab Immunotherapy	Cemiplimab Immunotherapy

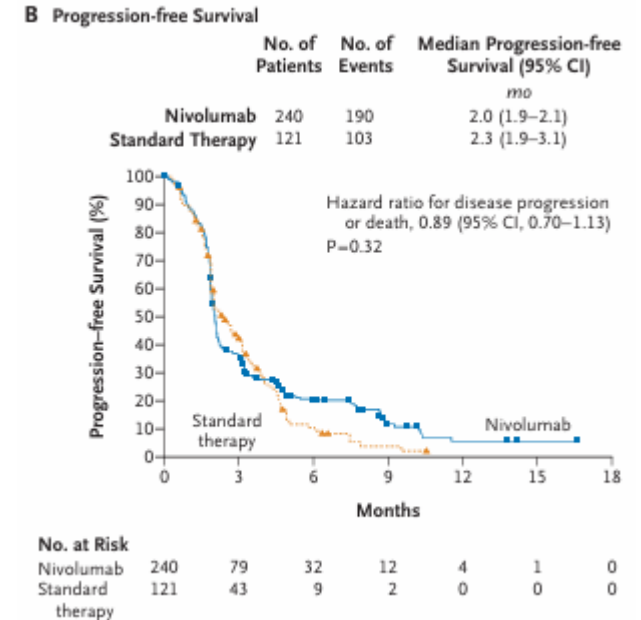
- Lots of good options for patients
- The best treatment algorithm is _____ (???)
- HN 014
 - Testing the Addition of an Immunotherapy Drug, Cemiplimab (REGN2810), Plus Surgery to the Usual Surgery Alone for Treating Advanced Skin Cancer
 - Phase 3 to confirm neoadjuvant is superior to soc
 - **C-POST data imperils this study**
- Future directions/Needs
 - Add LAG3 and other agents to neoadjuvant
 - Determine who needs more than single agent

Immunotherapy in HNSCC

- Checkmate 141 Nivolumab 2016 approved 2nd line
- Keynote 012 supports pembrolizumab



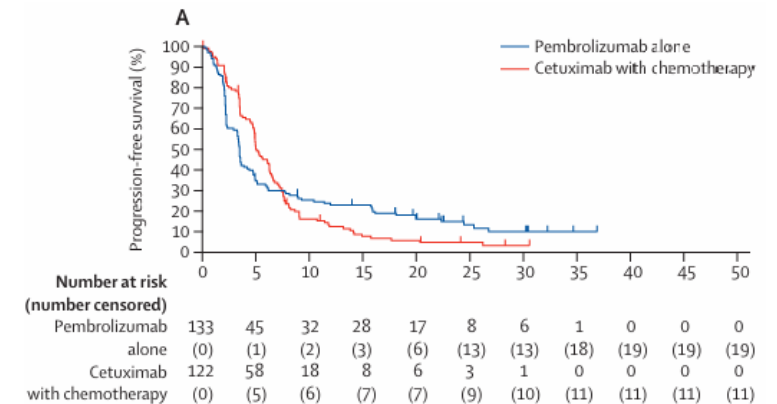
Chow et al. JCO 2018



Ferris et al. NEJM 2016

Immunotherapy in first line HNSCC

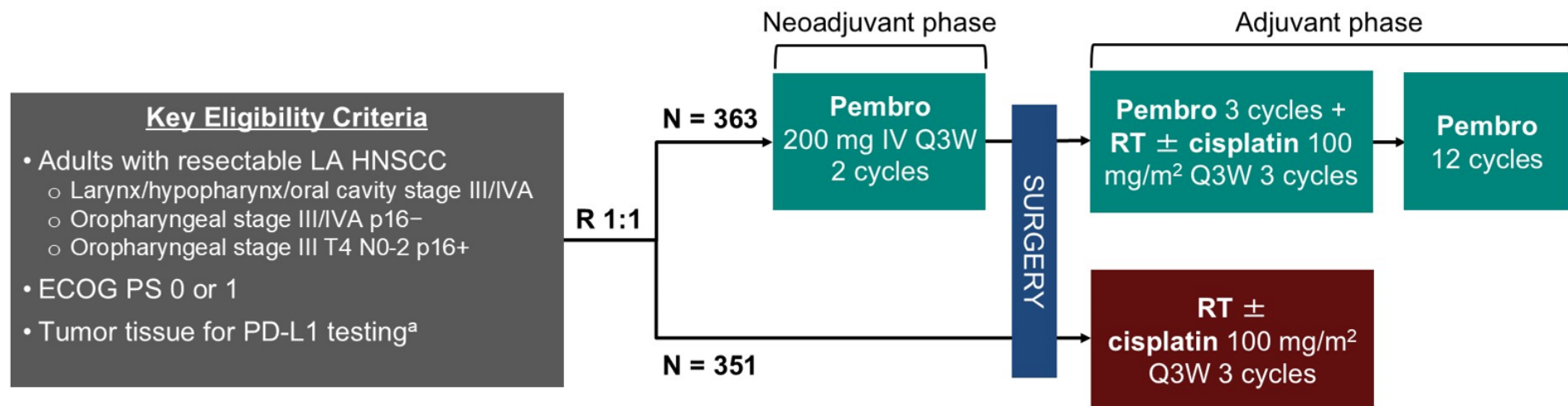
- Keynote 048 supports first line pembrolizumab (CPS ≥ 1) or pembrolizumab + chemotherapy (any CPS)
- Keynote B10 supports paclitaxel substitution
- PFS for single agent pembrolizumab 2-3 months



Burtneß et al. Lancet 2019

Keynote 689 – Neoadjuvant pembrolizumab

KEYNOTE-689 Study (NCT03765918)



Stratification factors

- Primary tumor site (oropharynx/oral cavity vs larynx vs hypopharynx)
- Tumor stage (III vs IVA)
- PD-L1 TPS^a (≥50% vs <50%)

Primary endpoint: EFS per RECIST 1.1 by BICR

Key secondary endpoints: Major pathological response by BIPR (mPR; ≤10% residual invasive SCC in resected primary tumor + all sampled regional lymph nodes), OS

Other secondary endpoints include: Safety

Exploratory endpoints include: Locoregional failure rate, DMFS, second cancers

BICR, blinded independent central review; BIPR, blinded independent pathologist review; DMFS, distant metastasis-free survival; EFS, event-free survival; OS, overall survival; RT, radiation therapy.

^aAssessed by PD-L1 IHC 22C3 pharmDx; TPS=% tumor cells with membranous PD-L1 staining.

Keynote 689 – Neoadjuvant pembrolizumab

Baseline Characteristics

Uppaluri KN689 AACR 2025
AACR **ANNUAL MEETING**
American Association for Cancer Research* **2025 CHICAGO**
APRIL 25-30 | AACR.ORG/AACR2025 | #AACR25

Characteristic	Pembro + SOC (N = 363)	SOC (N = 351)
Median age (range), years	60.0 (29–82)	61.0 (22–87)
Male, n (%)	286 (78.8)	277 (78.9)
Current/former smoker, n (%) ^a	293 (80.7)	267 (76.1)
Alcohol use—yes, n (%) ^a	250 (68.9)	238 (67.8)
Primary tumor site, n (%)		
Oral cavity	219 (60.3)	213 (60.7)
Larynx	81 (22.3)	73 (20.8)
Hypopharynx	28 (7.7)	26 (7.4)
Oropharynx	35 (9.6)	38 (10.8)
Missing	0	1 (0.3)
Positive HPV status, n (%) ^b	12 (3.3)	15 (4.3)
PD-L1 CPS ≥10, n (%)	234 (64.5)	231 (65.8)
PD-L1 CPS ≥1, n (%)	347 (95.6)	335 (95.4)

^a6 pts in the pembro + SOC group and 3 pts in the SOC group had unknown smoking and alcohol use status. ^bHPV (human papillomavirus) status was determined by local testing for pts with oropharyngeal cancer per p16 IHC. 1 pt in the SOC group had unknown HPV status.

Data cutoff date: 25 July 2024

Keynote 689 – Neoadjuvant pembrolizumab

Treatment Disposition

ITT Population

AACR
American Association
for Cancer Research*

**ANNUAL
MEETING
2025 CHICAGO**

Uppaluri KN689 AACR 2025

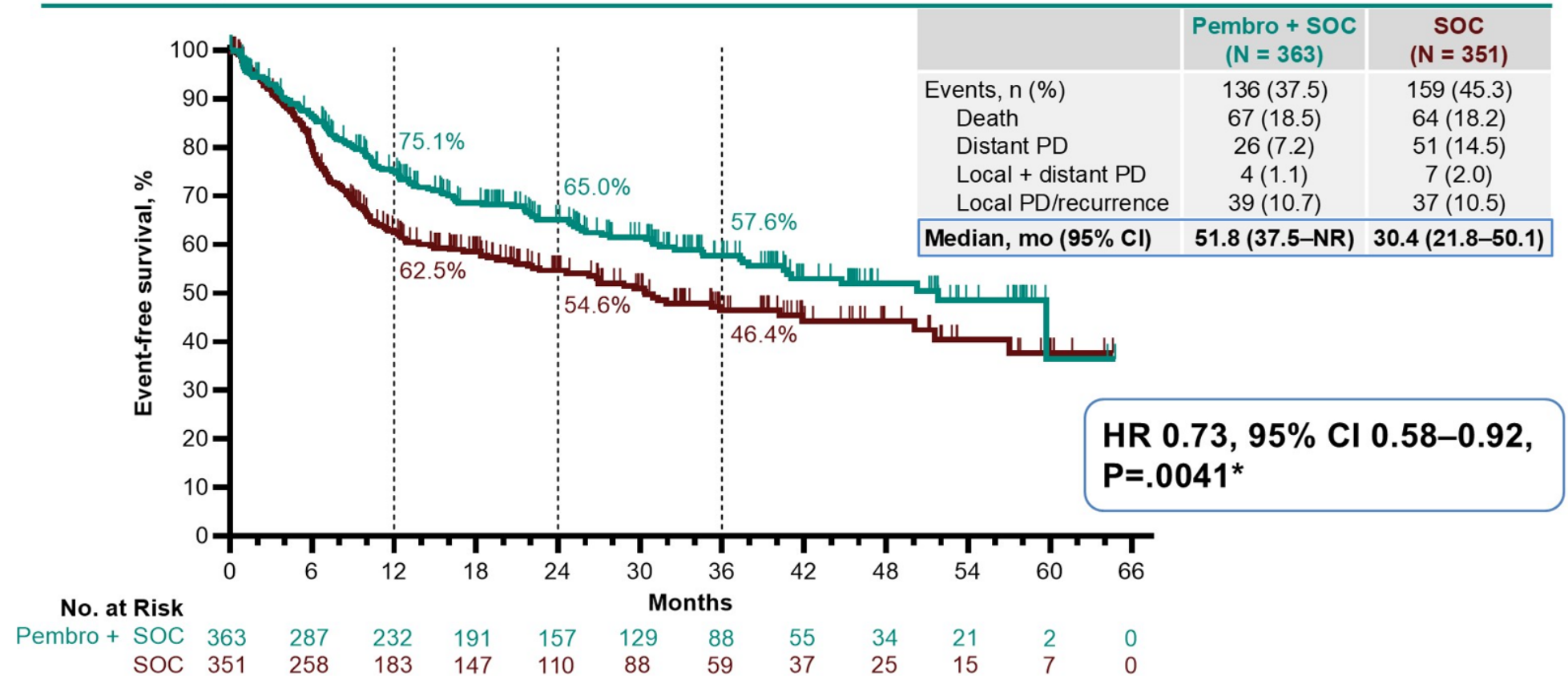
APRIL 25-30 | AACR.ORG/AACR2025 | #AACR25

		Pembro + SOC	SOC
Study start	Participants randomized (Dec 2018-Oct 2023), N	363	351
Neoadjuvant therapy	Received ≥1 dose of neoadjuvant pembrolizumab, n (%)	360 (99.2)	2 ^a (0.6)
	Completed 2 cycles of pembrolizumab, n (%)	341 (93.9)	1 (0.3)
	Permanently discontinued all study therapy at this phase, n (%)	30 (8.3)	0
In-study surgery	Underwent surgery, n (%)	322 (88.7)	308 (87.7)
	Completed surgery	321 (88.4)	308 (87.7)
	Tumor found to be surgically unresectable	1 (0.3)	0
	Permanently discontinued all study therapy at this phase, n (%)	55 (15.2)	41 (11.7)
	Postoperative risk assessment by BIPR, n (%)		
	High-risk features present	118 (32.5)	156 (44.4)
Adjuvant therapy	Low risk (no high-risk features present)	196 (54.0)	148 (42.2)
	Missing	49 (13.5)	47 (13.4)
	Received ≥1 dose of adjuvant therapy after surgery, n (%)	267 (73.6) ^b	267 (76.1) ^b
	Started radiotherapy	266 (73.3)	267 (76.1)
	Started pembrolizumab	248 (68.3)	0
All study treatment	Started cisplatin	100 (27.5)	132 (37.6)
	Permanently discontinued all study therapy at this phase, n (%)	109 (30.0)	14 (4.0)
	Completed all study treatment, n (%)	155 (42.7)	261 (74.4)
	Treatment ongoing, n (%)	11 (3.0)	0

^a2 pts in the SOC group received neoadjuvant pembro in error (protocol deviations). ^b8 additional pts in each group received definitive therapy without surgery.

Data cutoff date: 25 July 2024

EFS by BICR,^a All Participants



NR, not reached. *Significance boundary was met at IA1.

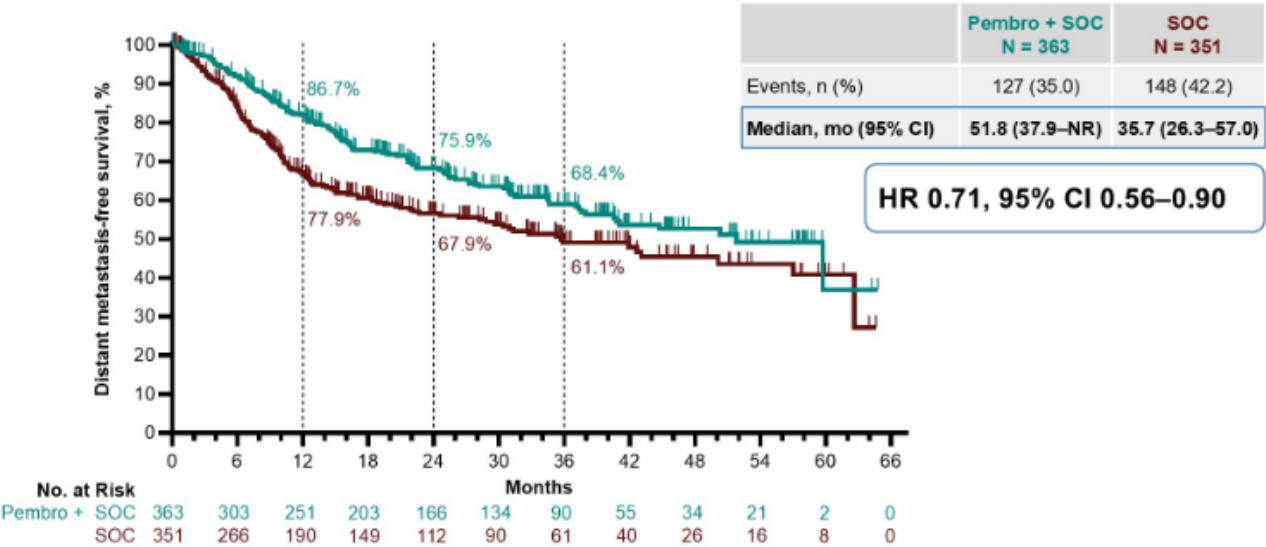
^aDefined as time from randomization to first radiographic PD (including during neoadjuvant phase that precludes surgery, local or distant PD/recurrence by imaging/biopsy) or death due to any cause

Data cutoff date: 25 July 2024

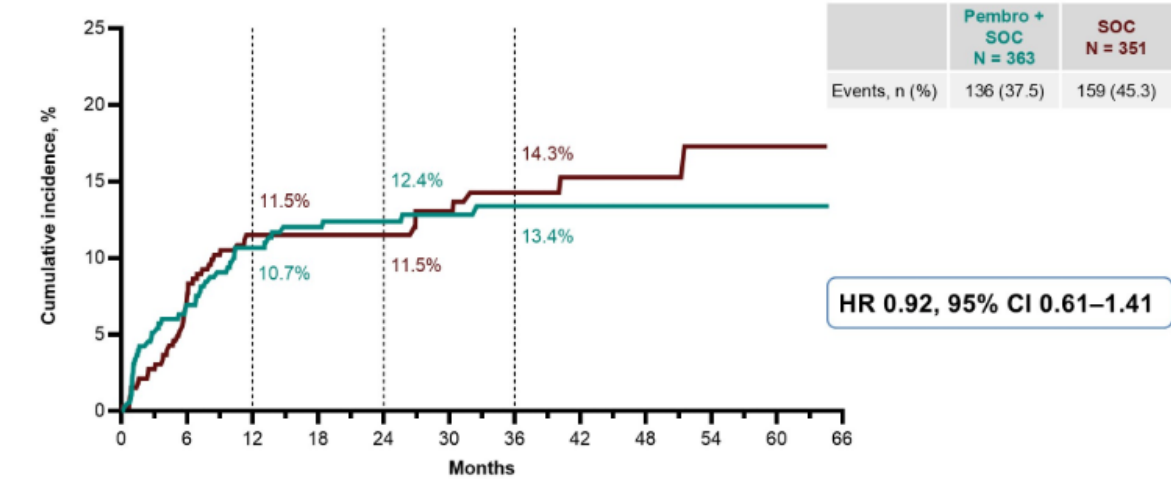
Median follow-up (time from randomization to data cutoff date): 38.3 months (9.0–66.5).

Keynote 68g – DM prevention driving benefit

DMFS,^a All Participants



Time to Locoregional Failure,^a All Participants



^aDefined as time from randomization to date of first recorded radiographic PD (local recurrence by imaging or biopsy).

Data cutoff date: 25 July 2024
Median follow-up: 38.3 months (9.0–66.5).

Keynote 689 – Who benefits most?

- III with marked benefit
- IVA no benefit?
- Non-smokers ?benefit
- Older patients ?benefit
- PDL1 higher more benefit

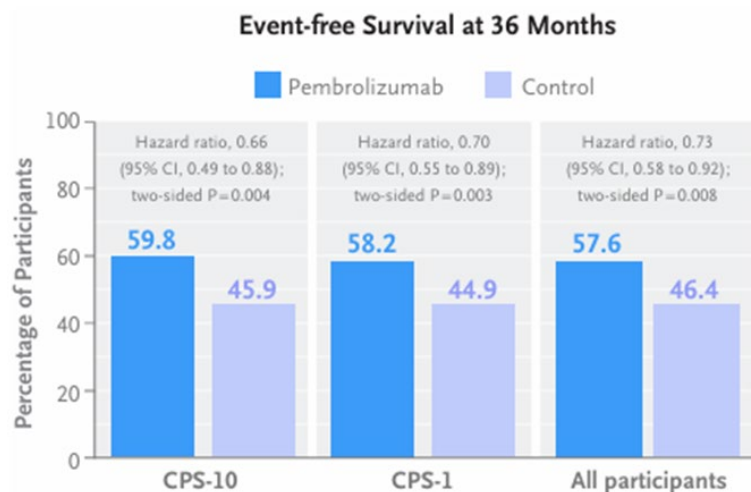
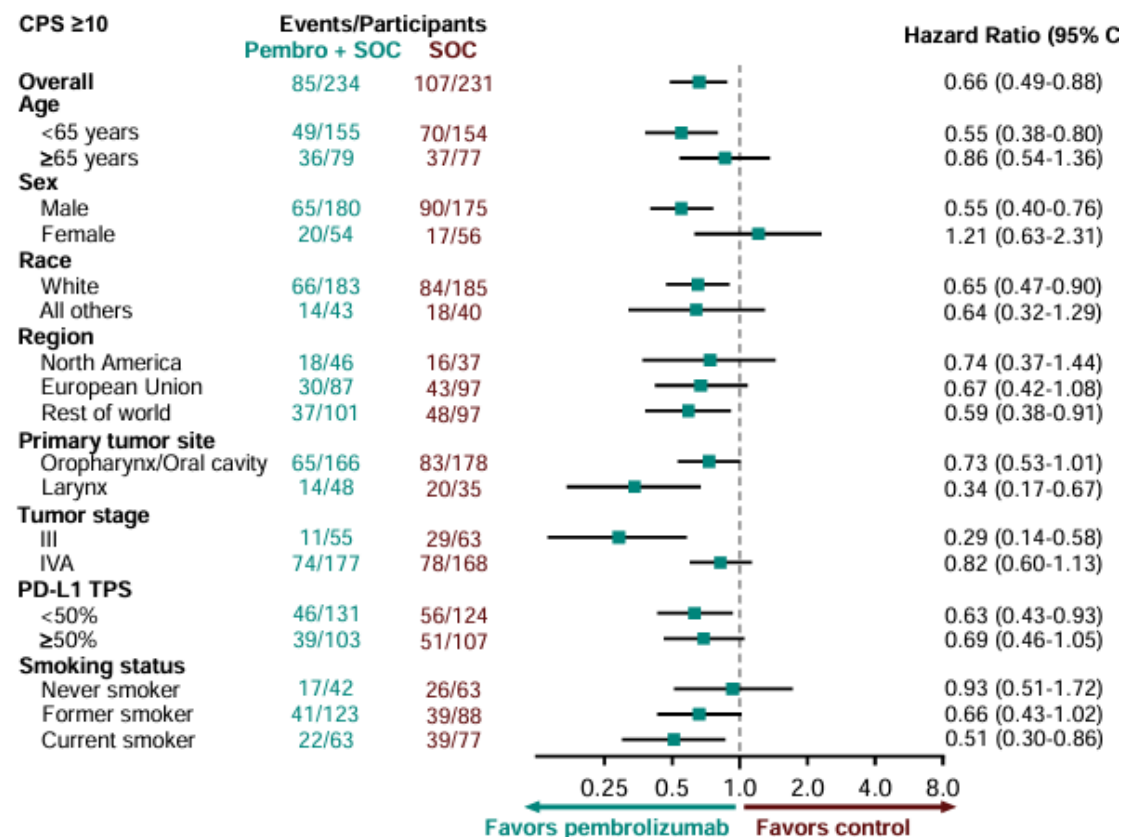


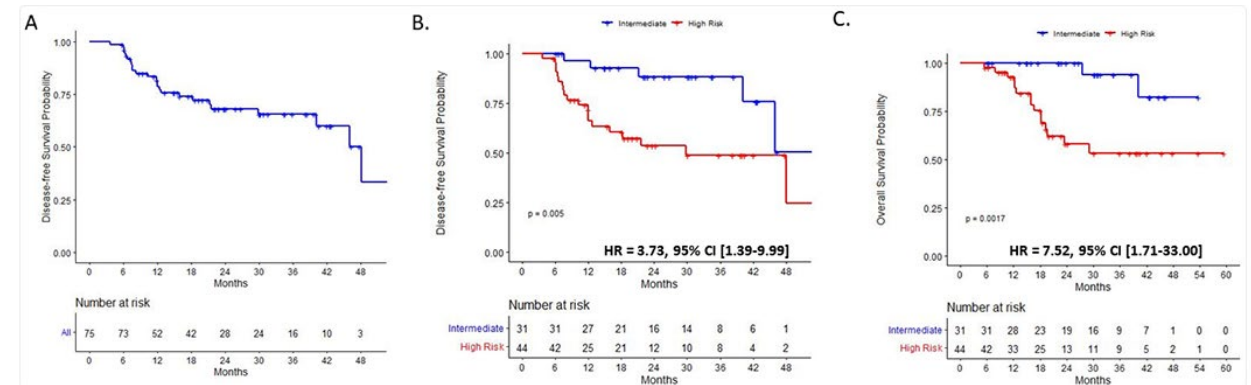
Figure S2A. Event-free Survival by Subgroups. Kaplan-Meier estimates of event-free survival according to key subgroups in randomized participants who had tumors with PD-L1 CPS ≥ 10 .



Phase 2 study Wise Draper

- Similarish design
 - 1 cycle neoadjuvant 1-3 weeks prior to surgery
- Concurrent/adjuvant pembrolizumab for 18 weeks
- 1 year DFS 97% (intermediate risk) vs. 66% (high risk)

Figure 1. Survival Stratified by Pathological Adverse Features.



Supplemental Table 3. Change in Stage by Risk		
Stage after neoadjuvant pembro	Int Risk	High Risk
Same Stage	10/31 (32%)	7/44 (16%)
Down Stage	17/31 (55%)	3/44 (7%)
Up Stage	4/31 (13%)	34/44 (77%)

Keynote 689 – Pathological Response

- pCR and mPR rates low
- pCR rate could be a surrogate marker for OS

	CPS ≥10 Population		CPS ≥1 Population		All Participants	
	Pembro + SOC n = 234	SOC n = 231	Pembro + SOC n = 347	SOC n = 335	Pembro + SOC N = 363	SOC N = 351
mPR, n (%)	32 (13.7)	0	34 (9.8)	0	34 (9.4)	0
Estimated difference (95% CI)	13.7 (9.7–18.7)		9.8 (7.0–13.3)		9.3 (6.7–12.8)	
P value	<0.00001*		<0.00001*		<0.00001*	
pCR, n (%)	10 (4.3)	0	11 (3.2)	0	11 (3.0)	0
Estimated difference (95% CI)	4.2 (2.1–7.6)		3.1 (1.6–5.6)		3.0 (1.5–5.3)	

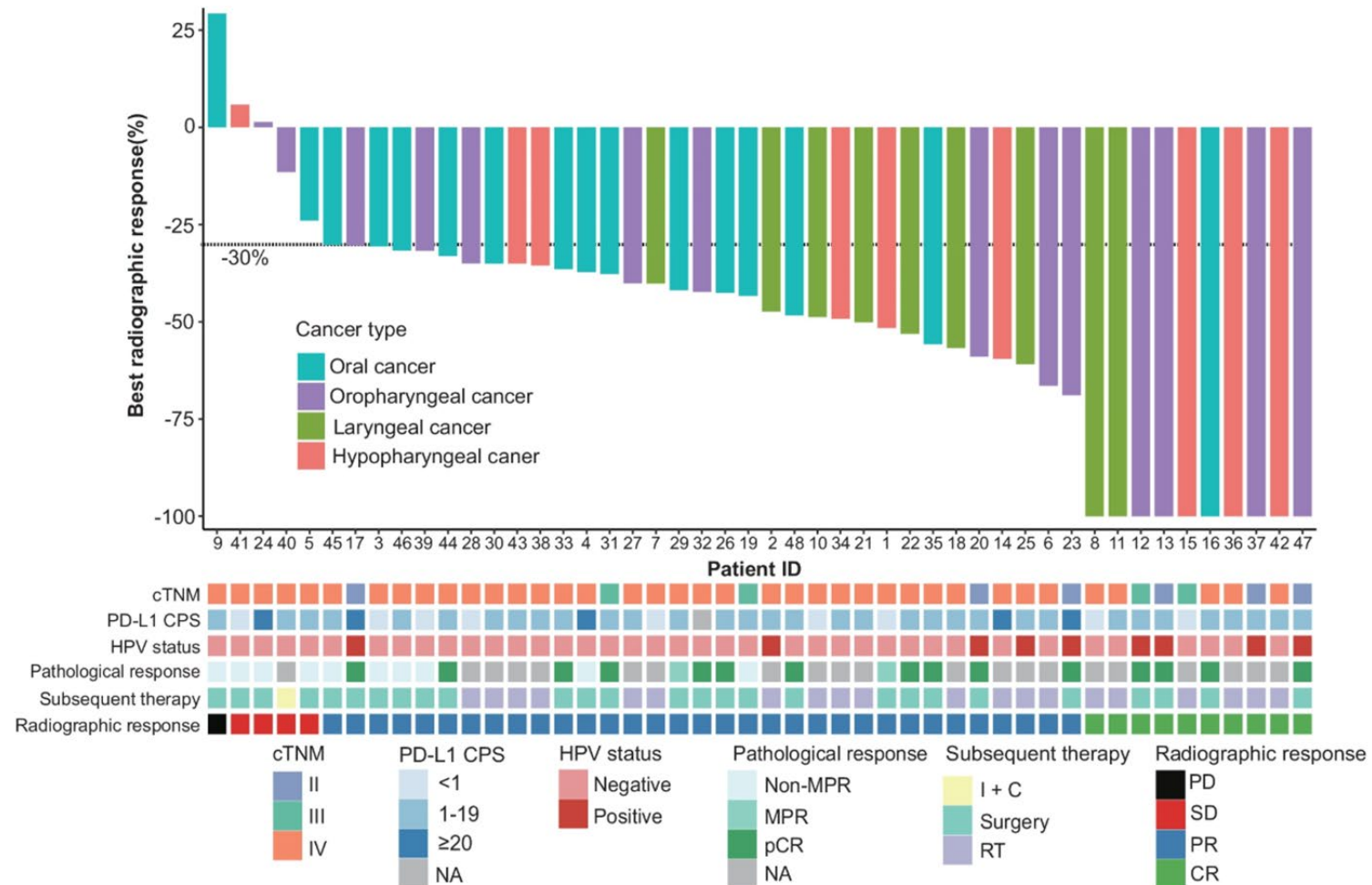
Chemo+IO better?

- ~25% pCR in NSCLC
- Two small phase 2 studies in Asia utilizing **cisplatin + nab-paclitaxel + camrelizumab** in locally advanced (III-IVB)
 - Zhang et al → 27 patients (all went to surgery) – no oral cavity!
 - ORR 97%
 - pCR 37%, MPR 74.1
 - Wu et al → 48 patients including oropharynx, larynx, hypopharynx, and oral cavity → 27 treated with surgery, 21 non-surgical (CRT)
 - ORR 89.6% (48 patients)
 - pCR 55.6%, MPR 63%

Chemo-IO associated with good tumor control

Fig. 2: The waterfall plot of best radiographic response by RECIST 1.1 ($n = 48$).

From: [Neoadjuvant chemo-immunotherapy with camrelizumab plus nab-paclitaxel and cisplatin in resectable locally advanced squamous cell carcinoma of the head and neck: a pilot phase II trial](#)



Each bar indicates one patient. Source data are provided as a Source Data file.

HNSCC Conclusion/Future directions

- Pembrolizumab improves EFS
 - DMFS seems to be driver
- Benefit may be uneven
- How much does adjuvant immunotherapy drive EFS and potentially OS?
- Intensification of neoadjuvant for IVA and greater patients?